

The Contemporary Open Access Ecosystem: The Good, The Bad and The Ugly

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Université Paris Cité - in a nutshell



Historical building of Université Paris Descartes medical school currently the University headquarters (where I work) (Source: Université Paris Cité wikipedia page) Public research university in Paris, France (technically an "experimental establishment")

Result of the merger of two universities:
 Université Paris Descartes (known as Paris 5) and
 Université Paris Diderot (known as Paris 7)

- More than 62k students

- About 3500 graduate students



What awaits you in this webinar

A few words about Open Science. An history of Open Access and where we're at today.

An overview of predatory publishing.



Introduction: a few words on Open Science





"Open Science is the practice of science in such a way that others can collaborate and contribute, where research data, lab notes and other research processes are freely available, under terms that enable reuse, redistribution and reproduction of the research and its underlying data and methods."

https://www.fosteropenscience.eu/foster-taxonomy/open-science-definition





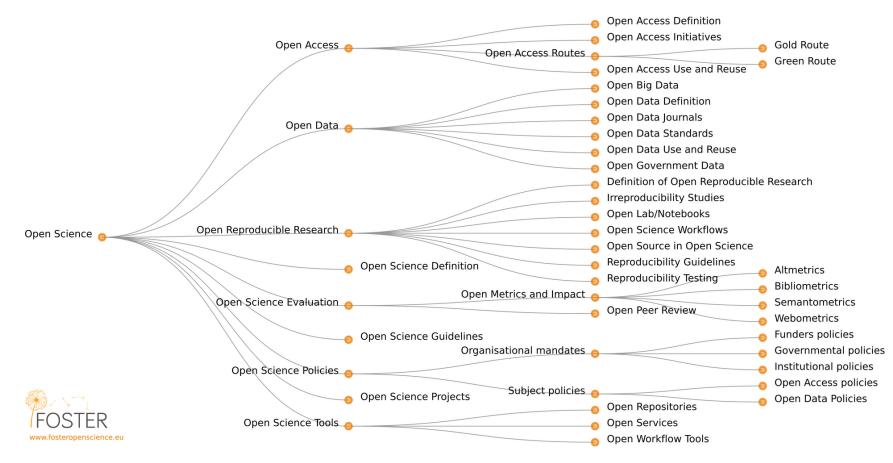
PUBLIC POLICIES

Open science refers to the unhindered dissemination of results, methods and products from scientific research. It draws on the opportunity provided by recent digital progress to develop open access to publications and - as much as possible - data, source code and research methods.

https://www.enseignementsup-recherche.gouv.fr/sites/default/files/2021-10/2e-plan-national-pour-la-science-ouverte-version-anglaise--13715.pdf



Open Science Taxonomy





- A worldwide movement for knowledge dissemination.
- A plethora of activities in many domains, from publishing to software development through citizen science.
- A beneficial scientific practice for me as a young researcher and for society.

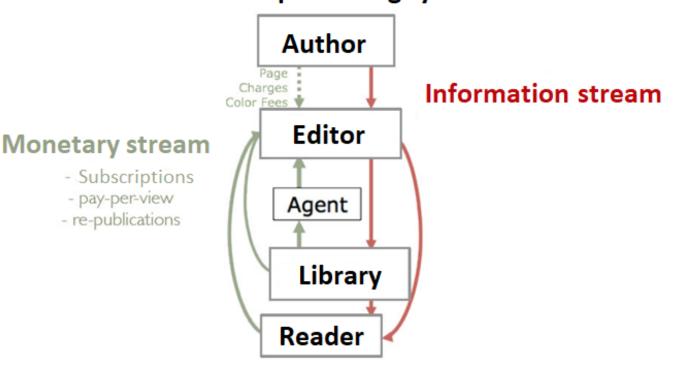


1. Open Access, then and now

- 1980s 2000s: the serials crisis and the quest for Gold (OA)
- 2010s: Article Processing Charges: a 2.0 serials crisis?
- 2020s: the road to Green and Diamond?



Academic publishing: a unique market



Traditional publishing system

- "Reader-pays"- Restricted Access (paywall)

Adapted from: https://scienceouverte.couperin.org/la-publication-scientifique-aujourdhui/



Ehe New York Eimes Soaring Prices Spur a Revolt in Scientific Publishing

In fact, researchers say, academia is a paradise for publishers. First the public pays for most scientific research through, for example, the National Science Foundation. Then universities pay the salaries of scientists who do virtually all the writing, reviewing and editing. Universities sometimes even provide free office space to journals. Finally, authors typically sign over their copyright to publishers, who can sometimes bring in many millions of dollars a year in subscriptions for a single high-priced journal -subscriptions paid by university libraries supported by tax dollars and tuition.

By Carol Kaesuk Yoon

Dec. 8, 1998



A very profitable market

- The arrival of digital technology has coincided with a consolidation of the academic publishing market around a few powerful actors.

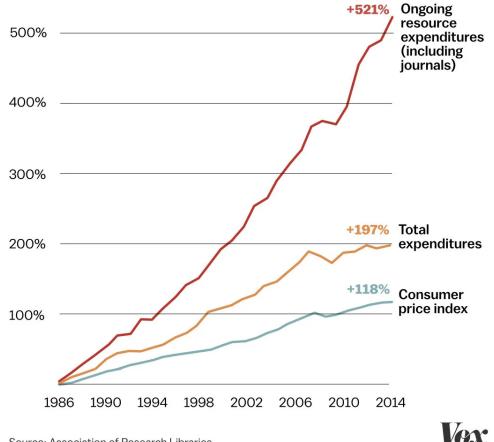
- Publishers' rationale for increasing subscription prices: the digital era does not reduce costs but increases them: new expenses related to technology + increased volume of publications.

- Inlastic demand
- Very uncompetitive market
- Bundling practices in the 90s

>> oligopoly situation, prices explosion and a very clear increase in publishers' revenues.

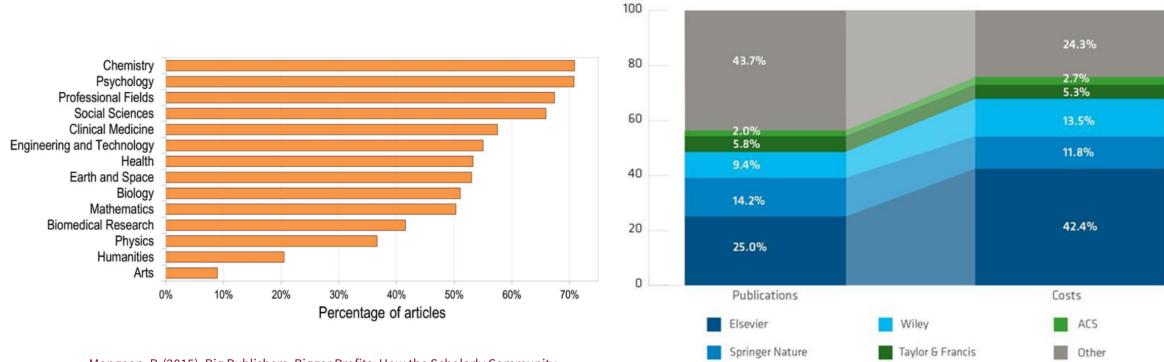
Spending on journals (and other reoccurring materials) has greatly outpaced inflation

Percent change in spending in university libraries





The "Big 5" oligopoly of academic publishing



Mongeon, P. (2015). Big Publishers, Bigger Profits: How the Scholarly Community Lost the Control of its Journals. MediaTropes, 5, 102-110.

© European University Association



. The Guardian

2012: the breakup year?

The Cost of Knowledge

19979 Researchers Taking a Stand. See the list

Academics have protested against Elsevier's business practices for years with little effect. These are some of their objections:

- 1. They charge exorbitantly high prices for subscriptions to individual journals.
- 2. In the light of these high prices, the only realistic option for many libraries is to agree to buy very large "bundles", which will include many journals that those libraries do not actually want. Elsevier thus makes huge profits by exploiting the fact that some of their journals are essential.
- 3. They support measures such as SOPA, PIPA and the Research Works Act, that aim to restrict the free exchange of information.



Ian Sample, science correspondent

@iansample
Tue 24 Apr 2012 17.45 BST

Harvard University says it can't afford journal publishers' prices

University wants scientists to make their research open access and resign from publications that keep articles behind paywalls

http://thecostofknowledge.com/



2012: the breakup year?



September 5, 2011: Sci-hub was launched by Alexandra Elbakyan



2011-2012: United States v. Aaron Swartz



Open Access: a digital-era movement.

In the United States:

- 1991 : *arXiv* (repository for mathematics and physics)
- 1996 : **PubMed** (medical sciences search engine)
- 2000 : **Pubmed Central** (repository for medical and life sciences)

2006: PLoS ONE (first peer-review open access megajournal)







In France:

1999 : *Revues.org*, now *OpenEdition Journals* (online open access journal portal)

2001 : HAL (open archive for all disciplines)





From a "reader-pays" to an "author-pays" model of academic publishing

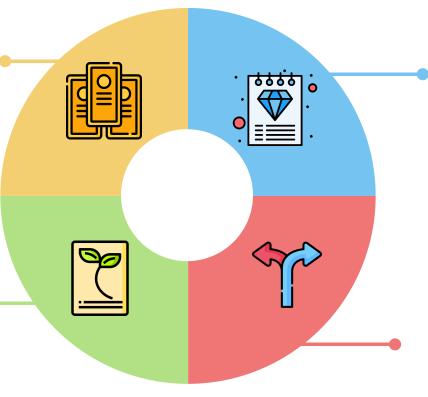
Gold OA

 -> all articles and related content are available for free immediately on the journal's website

 -> the publisher does charge authors for publishing fees (APC).
 -> Publication costs (APC) are paid by the researcher, his/her research institution or his/her research funder.

Green OA

 -> Artlicles and related contents are availible for free immediately on an institutional or a disciplinary repository..
 -> APC may have been paid depending on whether the article has been published by an academic publisher first and/or depending on the type of publisher (hybrid, gold, diamond).
 APC has not been paid if the article is a preprint.
 -> the article hosting's on the repository is free of charge for the author.



Diamond OA

 -> All articles and related contents are available for free immediately on the journal's website.
 -> The publisher does not charge authors for publishing fees (APC)
 -> Publication costs are internalized by not-for-profit, non-commercial organizations, associations, networks or public institutions.

Hybrid OA

-> Articles and related contents are behind a paywall and only available through subscription unless an OA option was taken by the author.

-> The publisher does charge authors for publishing fees (APC) for the OA option.

-> A certain number of institutions/funders are not paying for APCs in hybrid journals (e.g. Horizon Europe).



Transformative arrangements - "agreements" and "journals"



Part I: The Plan S Principles

"With effect from 2021^{*}, all scholarly publications on the results from research funded by public or private grants provided by national, regional and international research councils and funding bodies, must be published in <u>Open Access Journals</u>, on <u>Open Access Platforms</u>, or made immediately available through Open Access Repositories without embargo."

* For funders agreeing after January 2020 to implement Plan S in their policies, the start date will be one year from that agreement

08 The Funders do not support the 'hybrid' model of publishing. However, as a transitional pathway towards full Open Access within a clearly defined timeframe, and only as part of <u>transformative arrangements</u>. Funders may contribute to financially supporting such arrangements;



Transformative arrangements - "agreements" and "journals"

= Transitory publishing agreements for journals and publishers engaged in a transition to full and immediate open access.

Under these agreements, institutional funders agree to financially support hybrid journals as this transition is under way (within a defined timeframe).

<u>- Transformative journals</u>: subscription or hybrid journals involved in a transition to full open access according to a number of criteria defined by cOAlition S. A list of these journals can be found on the cOAlition S website.

<u>- Transformative agreements:</u> Contracts negociated between an institution/consortium of institutions and a publishing company. In this type of agreement, some APCs are paid in advance by the institution (allowing for a number of published articles to be included in the deal without further paiement by authors), while also maintaining a subscription access. (e.g. "Read and Publish" agreements)

To make a long story short, an important amount of hybrid APCs are still paid by institutional funders under the terms of these agreements.





October 7, 2022

Preprint Open Access

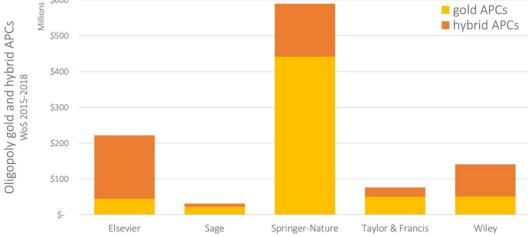
The Oligopoly's Shift to Open Access. How For-Profit Publishers Benefit from Article Processing Charges

🔟 Butler, Leigh-Ann; 🗅 Matthias, Lisa; 🗈 Simard, Marc-André; 🙆 Mongeon, Philippe; 🕒 Haustein, Stefanie

This study aims to estimate the total amount of article processing charges (APCs) paid to publish open access (OA) in journals controlled by the large commercial publishers Elsevier, Sage, Springer-Nature, Taylor & Francis and Wiley, the so-called oligopoly of academic publishing. While traditionally their business model focused on subscriptions, they now increasingly charge fees for publishing. This study computes an estimate of the total amounts of APCs paid to oligopoly publishers between 2015 and 2018, using publication data from WoS, OA status from Unpaywall and annual APC prices from open datasets and historical fees retrieved via the Internet Archive Wayback Machine. We estimate that globally authors paid the oligopoly of academic publishers \$1.06 billion in publication fees 2015-2018. Revenue from gold OA amounted to \$612.5 million, while \$448.3 million was obtained for publishing OA in hybrid journals, for which publishers already charge subscription fees. Among the five publishers, Springer-Nature made the largest revenue from OA (\$589.7 million), followed by Elsevier (\$221.4 million), Wiley (\$114.3 million), Taylor & Francis (\$76.8 million) and Sage (\$31.6 million). With Elsevier and Wiley making the majority of APC revenue from hybrid fees and others focusing on gold, different OA strategies could be observed between publishers.









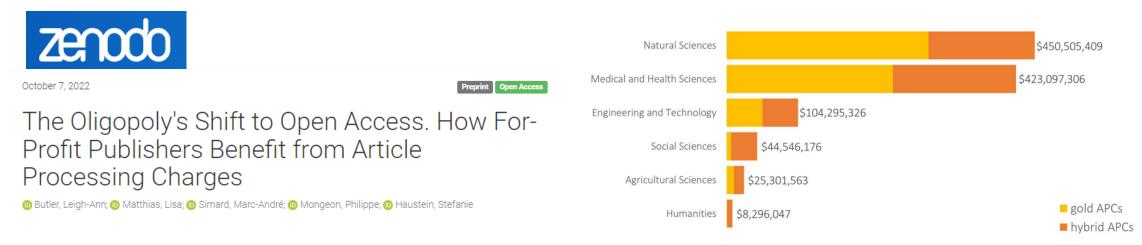
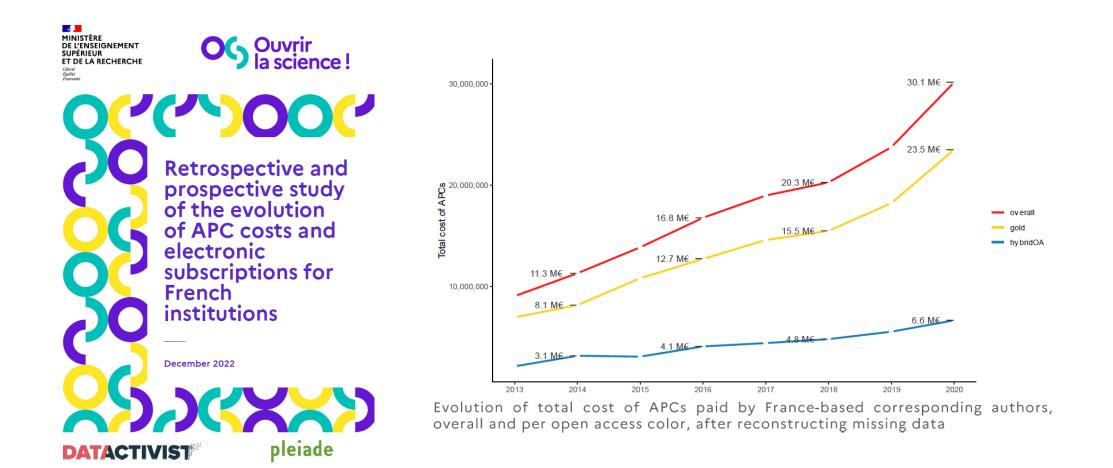


Figure 7. Total amount of APCs for gold and hybrid publications (n=505,555) per OECD Field of Science. APCs of journals classified in more than one OECD FoS were fractionalized.







OPEN ∂PC ABOUT OLAP SERVER GITHUB OPENAPC

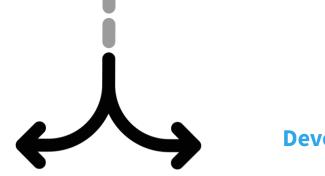
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Data for France, 2021 Source : OpenAPC



Reinforcing green OA

(through Right Retention Strategies)



Developping Diamond OA

+ less support overtime for transformative agreements

=> decrease/stabilization of APC expenses.



ACTION PLAN FOR DIAMOND OPEN ACCESS



open scholarly communication in the europear

research area for social sciences and humanities



MARCH 2022



agence nationale de la recherche

cnrs a

Science ouverte : le CNRS apporte son soutien au plan d'action d'accès ouvert « diamant » HARVARD



Harvard Library Endorses New Action Plan for Diamond Open Access









- > Authors (or their organisations) must retain sufficient intellectual property rights to comply with their Open Access requirements.
- Authors (or their organisations) must ensure Open Access to the Author Accepted Manuscripts (AAMs) or the Version of Record (VoR) of research articles, at the time of publication. All research articles must be made available under a <u>Creative Commons Attribution</u> "CC BY" licence or equivalent or, by exception, if so decided by a cOAlition S Organisation, a <u>Creative Commons Attribution</u>. <u>NoDerivatives</u> "CC BY-ND" licence, or equivalent.



RESEARCH



Home > CNRS Info

December 01. 2022

"There is no reason for scientists to make an exclusive free copyright transfer of their work to publishers"

rédérique PLAS/CNRS Photothèque

A - / A+

The CNRS encourages its researchers to apply the rights retention strategy when submitting a manuscript to publishers.

UNIVERSITY OF OSLO

UiO has adopted the establishment of an Institutional Rights Retention Policy (IRRP). The Rights Retention Policy has effect from and including 01/01/2023 and applies to all manuscripts submitted to scholarly journals.



Research support Libraries & Collections

Rights Retention at King's

King's College London is dedicated to the advancement of knowledge, learning, and understanding in the service of society. To ensure that researchers can continue to share their work, King's has instituted a Rights Retention Strategy via a revised Research Publications Policy effective 1 March 2023. Rights Retention aims to ensure that authors can deposit their paper where they see fit. With Rights Retention authors can disseminate their work as widely as possible while also meeting funder and any future REF requirements.

King's RRS Statement:

'For the purposes of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Accepted Author Manuscript version arising from this submission.'



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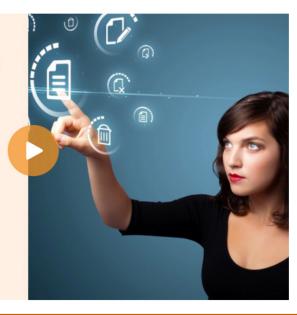
Mettre en œuvre la stratégie de non-cession des droits sur les publications scientifiques

GUIDE POUR LES CHERCHEUSES ET LES CHERCHEURS



How to reuse & share your knowledge as you wish through

Rights Retention







cOAlition S confirms the end of its financial support for Open Access publishing under transformative arrangements after 2024

26/01/2023

Transformative arrangements – including Transformative Agreements and Transformative Journals – were developed to encourage subscription journals to transition to full and immediate open access within a defined timeframe (31st December 2024, as specified in the Plan S Implementation Guidance). After careful consideration of the outcomes of transformative arrangements, the leadership of cOAlition S reaffirms that, as a principle, its members will no longer financially support_these arrangements after 2024.

Exceptionally, individual cOAlition S funders may still choose to financially participate in Transformative Agreements beyond 2024 as part of their respective national strategies. Such exceptions will be communicated on the cOAlition S website.



2. The other side of the Open Access coin: predatory publishing

- What is predatory publishing?
- A spectrum of editorial and commercial behaviors.
- A plethora of fraudulent activities.



What is predatory publishing?

"... Publication that proritize self-interest at the expense of scholarship and are characterized by false or misleading information, deviation from best editorial and publication practices, a lack of transparency, and/or the use of aggressive and indiscriminate solicitation practices." (Grudniewicz et al., 2019)



1163 predatory publishers on Beall's list in 2017

Last numbers before he closed his website.

10 000 predatory journals in 2018

Björk & al. 2020.

420000 predatory articles in 2014

Shen & Björk, 2015.

These are old numbers - we may be around 15 000 predatory journals in 2023.



Main characteristics of predatory publishers/journals

They provide no or only peripherical peer-review.

They ignore editorial services for publication They often adopt deceptive tactics to entice submissions and compel payments



Predatory journals: they may be in your email inbox...

British Journal of Research ISSN: 2394-3718 ICV: 80.53 | Journal Impact Factor: 0.71*

Dear Researcher,

Greetings ... !!

From past few years, <u>British Journal of Research</u> has never missed to create the milestones in publishing varieties of interesting articles.

We have been pioneered with the updated research on different core research topics. In this context, we welcome you to write a paper for our journal and glorify it with your kind presence.

For article submission- Submit manuscript online at <u>Research</u> or send as an e-mail attachment to the Editorial Office at <u>britishjournal@escienceopen.com</u>

Submissions having NIH grant will be directly indexed into PubMed.

Looking forward to hear from you. Response before December 15, 2018 will be given first preference in the article publication process.

Maybe you have received emails like this...

 $Hi \underbrace{Dr.}_{a} \underbrace{Mm}_{b} aurice Dupont$

Do you have any work ready for publication ?

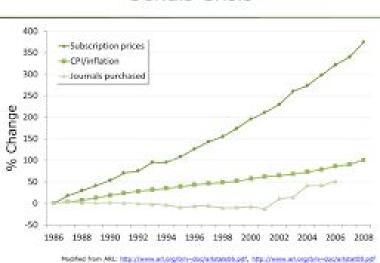
If you are interested, I would liketo invite you to consider <u>Journal of Journal</u> of Yoga, Physical Therapy and Rehabilitation Processes d ISSN XXXX-XXXX for your publication

Par ManuRoquette — Travail personnel, CC BY-SA 4.0, https://commons.wikimedia.org/w/index.php?curid=83306493

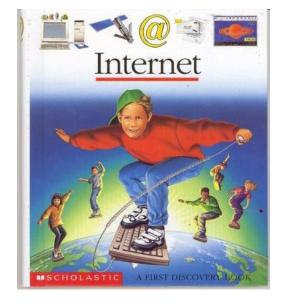


Historical reasons for the emergence of predatory publishing

Serials crisis in the 1980s/90s followed by the rise of APCbased gold OA in the 2000s, then followed by a widespread funding of APC-related costs by institutional actors in the 2000s/2010s. **Globalization** starting in the 1980s and accelerating in the 1990s with **the Internet**, the digitalization of academic publishing, but also **western academic practices being imported in developing countries (***publish or perish***)**.



Serials Crisis





Beall's list (2010-2017)



Jeffrey Beall

Librarian and associate professor at the University of Colorado Denver at the time he established the list.

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We hope that tenure and promotion committees can also decide for themselves how importantly or not to rate articles published in these journals in the context of their own institutional standards and/or geocultural locus. We emphasize that journal publishers and journals change in their business and editorial practices over time. This list is kept up-to-date to the best extent possible but may not reflect sudden, unreported, or unknown enhancements.								
0	1088 Email Press			o Mandates				
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https://scholarlyoa.com/publishers/



Where are predatory publishers?

India is the biggest provider of predatory publishers.



Where are predatory authors?

Nigeria and China are the biggest providers of predatory authors

O



(...)

Publications incentives are driving predatory publishing

- "In **Indonesia,** many universities require their faculty to publish at least 10% of their publication in English. However, only less than 5% of Indonesians, and the academic, can write English proficiently (Kozok, 2017).

- In **China,** institutions pay scientists who publish in top international journals. The cash rewards can range from \$30 USD to \$165,000 USD for a paper published in a WoS indexed journal (Abritis & McCook,2017; Quan et al., 2017). People without international publication may face layoff at some institutions.

- In **Nigeria**, universities set requirements for their faculty to be promoted to senior levels only if they have published a certain proportion of articles in foreign journals (Adomi & Mordi, 2003: Omobowale et al., 2014).

- The **Kazakhstan** goverment set a policy in the early 2010s to require all PhD students to publish in journals that are indexed by Scopus (Denisova-Schmidt, 2020, p.53)"

Xia (2022), "Background", emphases my own

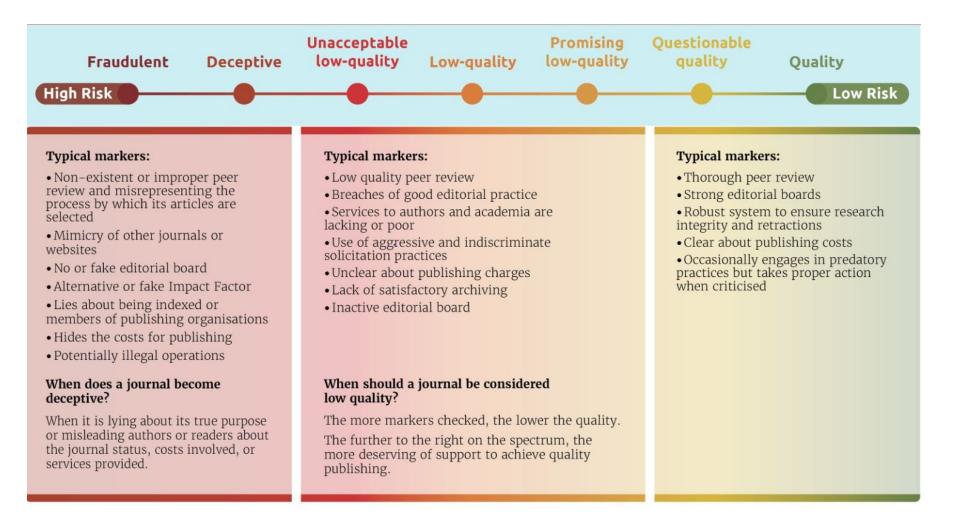


What are (some of) the risks of publishing in a predatory journal?

- It can tarnish your reputation and your co-authors'.
- **The article may very well disappear if the journal does**, with no record left (no DOI or appearance in scientific databases).
- **It can cause issues related to intellectual property** if you sign off your copyrights to the editor.
- Even if it is good science, your article may receive no/very few citations.



The complicated definition of predatory publishing: the "grey" zone



https://www.interacademies.org/publication/predatory-practices-report-English



It is not just journals: predatory conferences exist, too.



https://medium.com/@westwise/ive-been-invited-to-a-super-predatoryconference-and-i-have-to-share-371ae7db6a72



https://medium.com/gradbunker/how-to-identify-predatory-conferences-688f1d4c4d82

Technology Networks Exploring the Science That Matters to You

Inside a "Fake" Conference: A Journey Into Predatory Science

Article Published: July 11, 2019 | Ruairi J Mackenzie



https://www.technologynetworks.com/tn/articles/inside-a-fakeconference-a-journey-into-predatory-science-321619



It is not just journals: predatory conferences exist, too.

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One of the biggest predatory conferences organizers in the world, OMICS.

https://www.omicsonline.org/



Promotion of a predatory conference by Phillip Morris, the tobacco manufacturer.

OMICS admits that around 60% of its revenue come from pharmaceutical compagnies that sponsor its conferences. OMICS annual revenue is around \$11 million a year.

Xia (2022)



Another type of predatory publishing: hijacked journals

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Microfilm copies may be ordered from University Microfilms International, 300 N. Zeeb Road, P.O. Box 1346, Ann Arbor, Michigan 48106-1346, U.S.A.

The real Arctic Journal website https://arctic.ucalgary.ca/arctic-journal



The hijacked Arctic Journal website https://www.arcticjournal.org/

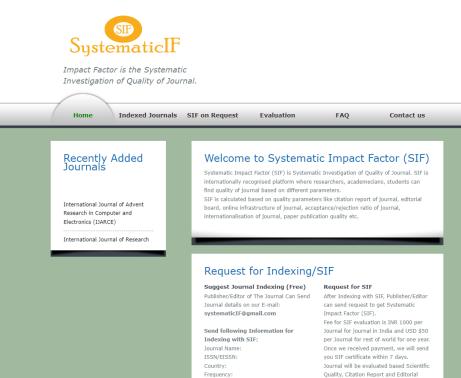


Another type of predatory publishing: hijacked journals

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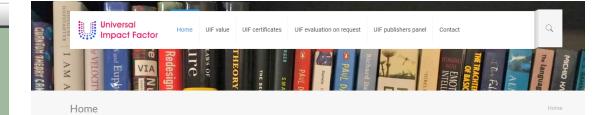


The russian doll of predatory services: the example of predatory indices.



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<u>Med Health Care Philos.</u> 2017; 20(2): 163–170. Published online 2016 Oct 7. doi: <u>10.1007/s11019-016-9740-3</u> PMCID: PMC5487745 PMID: <u>27718131</u>

The false academy: predatory publishing in science and bioethics $\underline{Stefan\ Eriksson}^{\boxtimes 1}$ and $\underline{Gert\ Helgesson}^2$

Criteria for Determining Predatory Open-Access Publishers

For more information on predatory publishers, including lists of publishers and standalone journals that meet these criteria, please visit http://scholarlyoa.com

By Jeffrey Beall 3rd edition / January 1, 2015



How to know if a journal is predatory?

Here are a few clues for spotting a non-legitimate journal listed by the Science ouverte site of the University of Rennes 1:

- "Invitation to publish sent by email to the researcher (spam).
- · Non-professional contact email address (such as gmail).
- · Manuscripts must be submitted by email.
- Journal that promises extremely fast publication.
- No transparency on peer-reviewing.
- No transparency on publication fees (APC).
- Modest publication fees (e.g. less than \$150).
- Access fees or embargo on dissemination.
- Incorrect spelling and grammar (site or message).
- Incorrect impact factors (see Journal of Citation Reports)
- The journal's website features a Copernicus Index.
- Lack of a retraction policy".

These criteria are neither exhaustive nor necessary. The predatory journal usually meets several negative criteria, but not necessarily all: the aim is to pass itself off as a genuine scientific journal...





The solution against predatory publishing: rethinking research evaluation?

THE TIMES OF INDIA

UGC withdraws mandatory research publication before PhD thesis submission to enhance quality

ASTHA HEMANT / TNN / Oct 3, 2022, 15:56 IST





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Margaux Larre-Perez, Ph.D. Université Paris Cité Libraries Université Paris Cité, Paris, France.

